

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

afw AF  
2172

Applicant: Wang et al. Examiner: Ehichioya, Fred I.  
Serial No: 09/925,596 Group: Art Unit 2172  
Filed: August 9, 2001 Docket: YOR920010451US (8728-528)  
For: METHOD AND APPARATUS FOR AUTOMATICALLY UPDATING STOCK AND  
MUTUAL FUND GRAMMARS IN SPEECH RECOGNITION SYSTEMS  
Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313-1450



NOTICE OF APPEAL

Sir:

Applicant hereby appeals to the Board of Appeals from the decision of the Primary Examiner dated August 23, 2004 finally rejecting Claims 1-33. (Claims 1-33).

The item(s) checked below are appropriate:

1. ☐ A petition and fee for extension of term for reply to the final rejection is attached.

2. ☒ Appeal Fee (37 C.F.R. 1.17 (e))

☒ other than a small entity -----\$310.00

☐ small entity -----\$155.00

☐ verified statement attached.

☐ verified statement filed.

3. Payment

☐ Check attached in the sum of \$\_\_\_\_\_.

☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s), to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

09/28/2004 HALI11 00000026 500510 09925596

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop AF, Commissioner for Patents, Alexandria, VA 22313-1450 September 23, 2004.

Dated: 9-23-04

Koon Hon Wong